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49 CFR PART 40 QUICK GUIDE

DOT Collector Qualification

A clear roadmap to the federal training and proficiency requirements for DOT urine specimen collectors.

Use this guide to:

- Identify strengths and gaps quickly
- Create a practical next-step action plan
- Know when training, systems, or follow-up need attention

"I can do all things through Christ who strengthens me." - Philippians 4:13



DOT Urine Specimen Collector Qualification: the path in 49 CFR Part 40

For DOT-regulated urine collections, a collector must meet the training and proficiency requirements in 49 CFR 40.33 before performing collector functions. Qualification is based on knowledge, training, demonstrated proficiency, and documentation.

1. Know the rules

Be knowledgeable about 49 CFR Part 40, the current DOT Urine Specimen Collection Procedures Guidelines, and applicable DOT agency rules. Keep current on changes.

2. Complete qualification training

Training covers correct collection steps, proper CCF completion and transmission, problem collections, fatal/correctable flaws, and collector responsibilities for integrity, privacy, and specimen security.

3. Demonstrate proficiency

Complete five consecutive error-free mock urine collections under a qualified monitor who observes in real time and documents successful performance.

4. Maintain documentation

Keep records showing you currently meet the qualification requirements and be able to provide them when requested by DOT representatives or employers/C/TPAs.

5. Refresh at least every five years

Refresher training must be completed no less frequently than every five years and again includes the required training and proficiency demonstration.

6. Correct qualifying errors promptly

If a collector error causes a test cancellation, error-correction training is required within 30 days of notification, including three consecutive error-free mock collections focused on the error area.

Important: The U.S. Department of Transportation does not require or issue a federal "collector certification." The rule requires collectors to be qualified and to maintain documentation that they meet the training and proficiency requirements.



The Five Required Mock Collection Scenarios

Under 49CFR 40.33(c), the initial proficiency demonstration includes five consecutive error-free mock collections. The required scenarios are:

Mock	Scenario	What it demonstrates
01	Uneventful collection	Standard collection completed correctly from start through CCF and specimen handling.
02	Uneventful collection	A second error-free standard scenario demonstrating repeatable procedure.
03	Insufficient quantity	A shy-bladder / insufficient urine quantity scenario handled according to the rule.
04	Temperature out of range	A specimen-temperature problem requiring the collector to follow required procedures.
05	Refusal to sign / initial	Employee refuses to sign the CCF and initial the specimen bottle tamper-evident seal.

Before you perform a DOT collection

- ☐ I completed qualification training that covers the subjects required by 49 CFR 40.33(b).
- ☐ I completed five consecutive error-free mock collections with a qualified monitor.
- ☐ I have written documentation of my successful qualification and proficiency demonstration.
- ☐ I have access to the current DOT Urine Specimen Collection Procedures Guidelines.
- ☐ I understand that I must keep current on changes to Part 40 and applicable agency rules.
- ☐ I know my refresher-training due date and how my records will be maintained.

Current regulatory references

49 CFR Part 40, Section 40.33 - urine collector training requirements.

DOT Urine Specimen Collection Procedures Guidelines - current DOT collector guidance (effective June 30, 2026).

Educational overview only; the regulation and current DOT guidance control.

Need DOT urine collector qualification training?



Contact The Service Standard Institute at info@theservicestandardinstitute.com | 940-263-1332 or visit theservicestandardinstitute.com.